



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Carl D. Wahlstrand; Darren A.

Confirmation No.

6687

Janzig; Robert M. Skime

Serial No.:

10/731,881

Filed:

December 9, 2003

Customer No.:

28863

Examiner:

Unknown

Group Art Unit:

3738

Docket No.:

1023-333US01

Title:

REDUCING RELATIVE INTERMODULE MOTION IN A MODULAR

IMPLANTABLE MEDICAL DEVICE

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on October 27, 2004.

Jetober <u>27,</u> 2004.

Name: Shirley A. Betlach

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

Applicant submits the references listed on the attached form PTO-1449. This statement is being filed, to the best of Applicant's knowledge, before the receipt of a first Office Action on the merits.

Applicant has enclosed a copy of each article cited and each foreign document cited. Copies of the U.S. patents are not enclosed as this requirement has been waived by the U.S. Patent Office.

Date

ale.\_\_\_\_\_\_

04

Shumaker & Sieffert, P.A.

8425 Seasons Parkway, Suite 105

St. Paul, Minnesota 55125

Phone: (651) 735-1100 Fax: (651) 735-1102 Respectfully submitted,

By: Jason D. Kelly

Reg. No. 54,213

Form 1449\*

OT 2 9 2004

TRADELINE

INFORMATION DISCLOSURE
INFORMATION DISCLOSURE
STATEMENT

Docket Number: 1023-333US01

Application Number: 10/731,881

Applicant:

Carl D. Wahlstrand; Darren A. Janzig; Robert M. Skime

Filing Date:

Group Art Unit:

(Use several sheets if necessary)

IN AN APPLICATION

December 9, 2003

3738

#### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Issue/Document Publication Date	Name	Filing Date If Appropriate
	3,724,467	04/03/1973	Avery et al.	
	4,399,819	08/23/1983	Cowdery	
	4,616,655	10/14/1986	Weinberg et al.	
	5,271,397	12/21/1993	Seligman et al.	
	5,477,855	12/26/1995	Schindler et al.	
	5,554,194	09/10/1996	Sanders	
	5,645,586	07/08/1997	Meltzer	
	5,814,095	09/29/1998	Müller et al.	
	5,895,414	04/20/1999	Sanchez-Zambrano	
	5,935,154	08/10/1999	Westlund	
	5,941,905	08/24/1999	Single	
	5,941,906	08/24/1999	Barreras, Sr. et al.	
	5,984,859	11/16/1999	Lesinski	
	5,991,664	11/23/1999	Seligman	

#### FOREIGN PATENT DOCUMENTS

Examiner	Document Number	Publication Country		Translation	
Initial		Date		Yes	No
	1 161 579	08/13/1969	Great Britain		
	DE 3940632	12/06/1990	Germany		
· · ·	WO 92/20402	11/26/1992	PCT		
	WO 01/39830	06/07/2001	PCT		

# OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Publication)

U.S. Patent Application Serial No. 10/731,868, filed December 9, 2003, entitled
"Implantation of Low-Profile Implantable Medical Device," Attorney Docket No.
1023-330US01.

- U.S. Patent Application Serial No. 10/731,699, filed December 9, 2003, entitled "Coupling Module of a Modular Implantable Medical Device," Attorney Docket No. 1023-331US01.
- U.S. Patent Application Serial No. 10/730,873, filed December 9, 2003, entitled "Overmold for a Modular Implantable Medical Device," Attorney Docket No. 1023-332US01.

### **EXAMINER**

**Date Considered** 

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

				11 11 11			
Form 1449*		Docket Number:		Application Number:			
INFORMATION DISCLOSURE STATEMENT		1023-333US01 10/731,881					
		Applicant:	and; Darren A. Janzig;	Robert M Skin	16		
IN AN APPLICATION		Filing Date:	inu, Dantin A. Janzig,	Group Art Unit:	10		
(Use several sheets if necessary)		December 9, 200	)3	3738			
		U.S. PATEN	T DOCUMENTS				
Examiner Initial	Document Number	Issue/Document Publication Date	Name		Filing D Approp		
	6,006,124	12/21/1999	Fischell et al.			·	
	6,016,449	01/18/2000	Fischell et al.				
	6,061,593	05/09/2000	Fischell et al.				
	6,067,474	05/23/2000	Schulman et al.				
-	6,128,538	10/03/2000	Fischell et al.				
	6,134,474	10/17/2000	Fischell et al.				
<u> </u>	6,176,879 B1	01/23/2001	Reischl et al.				
	6,230,049 B1	05/08/2001	Fischell et al.				
	6,248,080 B1	06/19/2001	Miesel et al.				
	6,259,951 B1	07/10/2001	Kuzma et al.				
	6,269,266 B1	07/31/2001	Leysieffer				
	6,272,382 B1	08/07/2001	Faltys et al.				
	6,308,101 B1	10/23/2001	Faltys et al.		-		
	6,324,428 B1	11/27/2001	Weinberg et al.				
		FOREIGN PAT	TENT DOCUMENTS				
Examiner	Document Number	Publication	Countr	у	Transl		
Initial	7770 00100 7700	Date	n cm		Yes	No	
	WO 02/005590	01/17/2002	PCT				
	WO 02/056637	07/18/2002	PCT				
	WO 03/076012	09/18/2003	PCT				
OTHER D	·	<u> </u>	tem, Page(s), Vol/Issue N				
			0/730,878, filed Dece				
		ule of a Modular I	mplantable Medical I	Device," Attorne	y Docket	No.	
	1023-334US01.						
	U.S. Patent Application Serial No. 10/730,877, filed December 9, 2003, entitled "Low-						
	1		," Attorney Docket N				
	U.S. Patent Application Serial No. 10/731,867, filed December 9, 2003, entitled						
"Concavity of an Implantable Medical Device," Attorney Docket No. 1023-336US01.							
EXAMINE	ER		Date Considered				
			til Reprop	'00. D P 1	-14-41- 15	4:-	
*Examiner: Ini	tial if reference considered,	whether or not citation is	in conformance with MPEP 6	טא; Draw line through	citation it no	LIN	

conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820

(Also form PTO-1449)

Form 1449*		Docket Number:	1 . 1		er:	
INFORMATION DISCLOSURE		1023-333US01		10/731,881		
	ATEMENT	Applicant:				
IN AN APPLICATION		Carl D. Wahlstrand; Darren A. Janzig		Kobert M. Skin	16	
		Filing Date:		Group Art Unit: 3738		
(Use several sheets if necessary)		December 9, 2003		3/36		
		U.S. PATEN	T DOCUMENTS			
Examiner Initial	Document Number	Issue/Document Publication Date	Name		Filing Date If Appropriate	
	2001/0051819	12/13/2001	Fischell et al.			
	2002/0002390	01/03/2002	Fischell et al.			
	2002/0013612	01/31/2002	Whitehurst			
	2002/0019669	02/14/2002	Berrang et al.			
	6,354,299 B1	03/12/2002	Fischell et al.			
	6,358,281 B1	03/19/2002	Berrang et al.			
	6,360,122 B1	03/19/2002	Fischell et al.			
	2002/0051550 05/02/2002 Leysieffer					
	2002/0099412	07/25/2002	Fischell et al.			
	6,427,086 B1	07/30/2002	Fischell et al.			
	6,480,743 B1	11/12/2002	Kirkpatrick et al.			
·	6,537,200 B2	03/25/2003	Leysieffer et al.			
	6,554,762 B2	04/29/2003	Leysieffer			
	6,560,486 B1	05/06/2003	Osorio et al.			
		FOREIGN PA	TENT DOCUMENTS			
Examiner	Document Number	Publication	Count	ry	Trans	lation
Initial		Date			Yes	No
						l
OTHER D	OCUMENTS (Including	ng Authors, Title of	Item, Page(s), Vol/Issue	No., Publisher, Pla	ce of Publi	cation)
	U.S. Patent Appli	cation Serial No.	10/731,638, filed Dec	ember 9, 2003, e	ntitled "N	/lodular
			rney Docket No. 1023			
	U.S. Patent Appli	cation Serial No.	10/731,869, filed Dec	ember 9, 2003, e	ntitled "N	/lodular
			rney Docket No. 1023			
		," Animation Scre				
	http://www.cochl	earamerica.com/8	00.asp, 7 pgs.			
	"Candidates Broc	hure," http://www	v.cochlearamerica.con	n/pdfs/candidatel	orochglob	al.pdf,
	14 pgs.					
EXAMINER		Date Considered				
*Examiner: In	nitial if reference considered	, whether or not citation	is in conformance with MPEP	609; Draw line through	citation if no	ot in
conformance a	nd not considered. Include	copy of this form with ne	xt communication to applicant Patent and Trademark	<b>t.</b>		
Based on Form (Also form PT)	n PTO-FB-A820 O-1449)		ratent and Trademark	Other O.S. Departmen	. J. Comme	

Form 1449*		Docket Number:		Application Number:				
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION		1023-333US01		10/731,881				
		Applicant:						
			and; Darren A. Janzig;		ne			
		Filing Date:	22	Group Art Unit:				
(Use sever	al sheets if necessary)	December 9, 200	)3	3738				
	·	U.S. PATEN	T DOCUMENTS					
Examiner Initial	Document Number	Issue/Document Publication Date	Name		Filing Date If Appropriate			
	2003/0085684	05/08/2003	Tsukamoto et al.					
	6,565,503 B2	05/20/2003	Leysieffer et al.					
	6,575,894 B2	06/10/2003	Leysieffer et al.					
	2003/0109903	06/12/2003	Berrang et al.					
	2003/0171787	09/11/2003	Money et al.					
	6,648,914 B2	11/18/2003	Berrang et al.					
		FOREIGN PAT	TENT DOCUMENTS			-		
Examiner	Document Number	Publication	Country		Trans	ation		
Initial		Date			Yes	No		
OTHER D	OCUMENTS (Includin	g Authors, Title of l	tem, Page(s), Vol/Issue N	vo., Publisher, Plac	ce of Public	cation)		
	"Research and De	velopment," http://	//www.cochlearameric	cas.com/384.asp	, 1 pg.			
	"Research and Development," <a href="http://www.cochlearamericas.com/384.asp">http://www.cochlearamericas.com/384.asp</a> , 1 pg.  "The World Leader in cochlear implants – revolutionizing hearing for adults and infants,"							
	http://www.cochlear.com, 1 pg.							
	"Cochlear: innovator of the Nucleus 3 cochlear implant system,"							
	http://www.cochlearamericas.com, 1 pg.							
	"What is a Cochlear Implant," http://www.cochlearamericas.com/What/161.asp, 1 pg.							
	"ESPrit 3G Speech Processor," http://www.cochlearamericas.com/591.asp, 2 pgs.							
	"Nucleus 3 System," http://www.cochlearamericas.com/Products/465.asp, 1 pg.							
			Cochlear Implants,"					
	http://www.cochle		_					
			cochlearamericas.com	/568.asp, 2 pgs.				
			earamericas.com/372.a					
			aramericas.com/371.a					
	"Nucleus 24 Double Array," http://www.cochlearamericas.com/370.asp, 1 pg.							
"Nucleus 24 ABI: Auditory Brainstem Implant,"								
	http://www.cochlearamericas.com/373.asp, 2 pgs.							
			//www.cochlearameric	cas.com/629.asp	, 1 pg.			
	"Sprint: body worn speech processor," http://www.cochlearamericas.com/1010.asp, 1 pg.					, 1 pg.		
			ericas.com/Recipients					
EXAMINI			Date Considered					
	<del></del>							
*Finon In	itial if reference considered	whether or not citation i	s in conformance with MPEP	609: Draw line through	citation if no	ot in		

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through Chaudh II accomposition of the conformance and not considered. Include copy of this form with next communication to applicant.

Paced on Form PTO-FB-A820

Patent and Trademark Office, U.S. Department of Commerce